



*Making the difference*

# Whistleblowing Policy



## Whistleblowing Policy for employees and professionals working at Vranch House

### Introduction

Whistleblowing has been defined as:

*‘the disclosure by an employee or professional of confidential information which relates to some danger, fraud or other illegal or unethical conduct connected with the work place, be it of the employee or his/her fellow employees’*

*(Public Concern at Work Guidelines 1997)*

Statutory protection for employees who whistleblow is provided by **the Public Interest Disclosure Act 1998 (“PIDA”)**. The PIDA protects employees against victimisation if they make a protected disclosure within the meaning of the PIDA and speak out about concerns about conduct or practice within the school which is potentially illegal, corrupt, improper, unsafe or unethical or which amounts to malpractice.

This policy applies to all school staff including full and part time, casual, temporary or substitute staff and to individuals undertaking work experience in the school.

### Aims and Scope of Policy

The proprietary body (hereafter referred to as the Trustees) and Governors are committed to high standards in all aspects of the school and will treat whistleblowing as a serious matter. In line with the Trustees’ and Governors’ commitment to openness, probity and accountability, members of staff are encouraged to report concerns which will be taken seriously, investigated and appropriate action taken in response.

This policy aims to:

- give confidence to members of staff about raising concerns about conduct or practice which is potentially illegal, corrupt, improper, unsafe or unethical or which amounts to malpractice or is inconsistent with school standards and policies so that s/he is encouraged to act on those concerns
- provide members of staff with avenues to raise concerns
- ensure that members of staff receive a response to the concerns they have raised and feedback on any action taken
- offer assurance that members of staff are protected from reprisals or victimisation for whistleblowing action undertaken in good faith and within the meaning of the PIDA.

This policy covers whistleblowing relating to alleged:

- unlawful conduct
- miscarriages of justice in the conduct of statutory or other processes
- failure to comply with a statutory or legal obligation
- potential maladministration, misconduct or malpractice
- health and safety issues including risks to the public as well as risks to pupils and members of staff



- action that has caused or is likely to cause danger to the environment
- abuse of authority
- unauthorised use of public or other funds
- fraud or corruption
- breaches of financial regulations or policies
- mistreatment of any person
- action that has caused or is likely to cause physical danger to any person or risk serious damage to school property
- sexual, physical or emotional abuse of members of staff or pupils
- unfair discrimination or favouritism
- racist incidents or acts, or racial harassment and
- any attempt to prevent disclosure of any of the issues listed.

The PIDA sets out the full statutory rights and obligations of members of staff wishing to whistleblow. Where members of staff are unclear about any of the PIDA requirements they should seek further advice. Public Concern at Work is an independent charity that provides free advice for persons who wish to express concerns about fraud or other serious malpractice (telephone 0207 404 6609 or [www.pcaaw.co.uk](http://www.pcaaw.co.uk)). Members of staff could also approach their trade union for further advice.

### Procedure for raising concerns (Whistleblowing)

It is hoped that you have first raised your concern with your Head of Education or the Chief Executive in the first instance and as an Incident on CPOMS:

**'Add Incident' >> 'Concern about Staff' >> 'Raise a concern with the Management Team'**

If you do not feel that the concern raised is subsequently dealt with appropriately by the Management Team (or if the concern is *about a member of the Management Team*), you should log this on CPOMS as a Whistleblowing Incident:

**'Add Incident' >> 'Concern about Staff' >> 'Whistleblowing'**

and approach the nominated Safeguarding Governor (Sandra Tutinas) or the Chair of Trustees (Julia Tolman-May) with this concern.

If you feel you cannot express your concerns with those linked to the school, it is open to you to raise your concern with someone outside the school setting from the list of organisations in the section of this policy '*Taking the Matter Further*', with key organisations to contact suggested as the LEA, Public Concern at Work and the trade unions.

However, where the concern relates to a child protection matter, and you do not want to raise this through the school, you must consult the Local Area Designated Officer (LADO) or, if that person is not available, contact the MASH team (see contact details at rear of this policy).

If the concern needs to have the Police or other statutory authority involvement, the whistleblowing process will be halted until the statutory authorities have completed their investigations and confirmed that it is appropriate to continue with the whistleblowing process.

If your concern is about an immediate or current risk to an individual child or children, it is important that you follow DCFP child protection procedures (see above for web links).



If possible put your concern in writing (ideally on CPOMS, using the methods listed above) for the avoidance of doubt. You should set out the background and history of the concern; giving names, dates and places where possible, and explaining the reason for your concerns. If you feel unable to put the matter in writing you can still raise your concern verbally and should telephone or arrange to meet the appropriate person. You can also ask your trade union or professional association to raise the matter on your behalf or to support you in raising the concern.

### **Protection of 'Whistleblowers' - Safeguard Against Reprisal, Harassment and Victimisation**

The Trustees and Governors will not tolerate harassment or victimisation of members of staff when matters are raised in accordance with the PIDA provisions. Any member of staff who victimises or harasses a member of staff as a result of their having raised a concern in accordance with the Whistleblowing policy will be dealt with under the governing body's staff disciplinary procedures.

The PIDA provides protection to employees in circumstances where their disclosure can be classed as a protected disclosure. Under the PIDA it would be automatically unfair to dismiss or make any employee/member of staff redundant because they had made a protected disclosure; and that it would be unlawful to subject them to any other detriment, such as demotion or a fine. In the event of such action an Employment Tribunal has the power to order re-instatement, re-engagement or order the award of compensation to successful claimants.

### **Confidentiality**

The Trustees and Governors recognise that members of staff may want to raise concerns in confidence and will do its utmost to protect the identity of members of staff who raise a concern and do not want their name disclosed.

However investigation into the concern could reveal the source of the information; and statements may be required from the member of staff as part of the evidence, which would be seen by all parties involved. If the investigation leads to prosecution and the whistleblower is likely to be called in to give evidence in court.

The Trustees and Governors will not place members of staff under pressure to give their name and will give due consideration to proceeding with investigating the concern on the basis of an anonymous allegation.

### **False and Malicious/Vexatious Allegations against Staff**

If a member of staff makes an allegation in good faith, but it is not confirmed by further inquiry, the matter will be closed and no further action taken. If the inquiry shows that untrue allegations were malicious, vexatious, or made for personal gain then the governing body will consider taking disciplinary action against the member of staff making such false allegations.

### **Allegations Concerning Child Protection Issues**

If a member of staff raises a concern related to a child protection issue, the headteacher or Chair of Trustees will urgently consult the Local Authority Designated Officer (LADO) to lead on child



protection (or if they are not available the designated manager for the Multi-Agency Support Hub (MASH) team) so that the action for the handling of such allegations under the school's disciplinary procedure for staff and the child protection procedures established by the Devon Children and Families Partnership (DCFP) can be initiated  
<https://www.proceduresonline.com/swcpp/devon/index.html> .

However, in relation to child protection issues, it is open to the member of staff to make a direct referral to the LADO/MASH either before raising their concern with the governing body or where the headteacher or Chair of Trustees fails to do so after raising their concern and the member of staff remains concerned about the situation.

**LADO:** telephone: 01392 384964 or email [ladosecure-mailbox@devon.gcsx.gov.uk](mailto:ladosecure-mailbox@devon.gcsx.gov.uk)

**MASH Team:** tel: [0345 155 1071](tel:03451551071) or email: [mashsecure@devon.gov.uk](mailto:mashsecure@devon.gov.uk)

**DCFP:** further information on can be found at: <https://www.dcfp.org.uk/keeping-children-safe/>

## Response to Whistleblowing

The matter raised may:

- need inquiry internally in the school
- need to be passed to the Police if it relates to alleged criminal activity
- need to be passed to the person in the LEA who deals with complaints about financial management or financial propriety in schools
- need to be referred to the LADO to lead on child protection if there is a concern relating to child protection, or if that person is not available the local authority's designated social services manager for child protection.

At this stage concerns/allegations are neither accepted nor rejected.

## Timescale for Response

The person appointed by the Chair of Trustees to look into whistleblowing allegations will normally provide a written response to you **within 5 working days** (except in the case of anonymous allegations):

- acknowledging that the concern has been received
- indicating how it is proposed to deal with the matter
- giving an estimate of how long it will take to provide a final response
- advising whether any enquiries have been made
- advising whether further enquiries will take place
- informing you of support available whilst matters are looked into, and
- maintaining confidentiality wherever possible, but also explaining that it may not be possible that you can remain anonymous.

## The Inquiry Process



The appointed person will:

- Look into the allegation - seeking evidence and interviewing witnesses as necessary.
- Maintain confidentiality wherever possible, but will be mindful that there is no guarantee that the whistleblower can remain anonymous.
- If appropriate, bring the matter to the attention of the LEA appointed person dealing with complaints about financial management of schools.
- If appropriate, for concerns of criminal behaviour refer the matter to the Police.
- If appropriate, for concerns of child protection, refer the matter to the LADO to lead on child protection/local authority social services designated manager for child protection. The whistleblowing process will be halted until the statutory authorities have completed their investigations and confirmed that it is appropriate to continue with the whistleblowing process.

If the person appointed by the governing body needs to talk to you, you are permitted to be accompanied by a trade union or professional association representative or a fellow member of staff not involved in the area of work to which the concern relates.

The target is to complete the inquiry within **10-15 working days** from the date of the initial written response. If the enquiry extends beyond the timescales outlined for specific reasons all individuals concerned will be notified of this in writing with an indication when the inquiry will be completed.

## The Inquiry Report

Following completion of the inquiry process the person appointed will make a written report and submit to the chair of the governing body normally within **5 working days**. **The report will not contain the whistleblower's name unless you have expressly stated that you wish to be named.**

Following receipt of the inquiry report, the Chair of Trustees will convene a committee with at least one Governor and an independent person from outside the Trustees and Governors, e.g. the LEA or a Governor of another school, to consider the inquiry report and decide on the action to be taken. This should normally take place within **5 - 10 working days** following receipt of the inquiry report.

Following notification of the committee's decision, the Chair of Trustees will notify you of the outcome normally **within 5 working days**, setting out the action to be taken or that no further action is to be taken and the reasons why.

## Taking the Matter Further

If no action is to be taken and/or you are not satisfied with the way the matter has been dealt with, you can make a complaint under the Vbranch House complaints procedure or raise your concerns with other organisations as listed below:

- the **local authority**, Devon County Council, 01392 383000
- **Local Area Designated Officer (LADO)**: telephone: 01392 384964 or email [ladosecure-mailbox@devon.gcsx.gov.uk](mailto:ladosecure-mailbox@devon.gcsx.gov.uk)
- **Multi-Agency Support Hub (MASH) Team**: tel: [0345 155 1071](tel:03451551071) or email: [mashsecure@devon.gov.uk](mailto:mashsecure@devon.gov.uk)



- **Devon Children & Family Partnership (DCFP)**: further information on can be found at: <https://www.dcfp.org.uk/keeping-children-safe/>
- **Ofsted** –contact can be made as follows:
  - A dedicated whistleblowing hotline - 03001234666 (Monday to Friday from 8.00am to 6.00pm).
  - By email to the whistleblowing team – General [cie@ofsted.gov.uk](mailto:cie@ofsted.gov.uk) .
  - By post: WBHL, Ofsted, Piccadilly Gate, Store Street, Manchester M1 2WD

The hotline can be used by anybody who has a whistleblowing concern about services or practice in any local authority, or in a care or educational setting regulated and inspected by Ofsted, including:

- Employees and former employees
  - Agency staff currently and formerly working within care or educational settings
  - voluntary workers in care or educational settings
- the **Police** - for concerns of criminal behaviour: 999 for emergencies, 111 for non-emergencies
  - a **trade union** or professional association
  - **Public Concern at Work** (an independent charity that provides free advice for persons who wish to express concern about fraud and other serious malpractice. Telephone 0207 404 6609 or [www.pcaaw.co.uk](http://www.pcaaw.co.uk)).
  - **Chair of Trustees**: Julia Tolman-May  
Contact email: [julia.tolman-may@vranchouse.org](mailto:julia.tolman-may@vranchouse.org)
  - **Safeguarding Governor**: Sandra Tutinas  
Contact email: [sandra.tutinas@gmail.com](mailto:sandra.tutinas@gmail.com)

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